

UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

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Howard and Sharon Phillips et al.

Case No. 09-CV-1732 (MJD/JJK)

Plaintiffs,

v.

**AFFIDAVIT OF PATRICIA J. LOO**

Trevor Cook et al.

Defendants.

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STATE OF OHIO              )  
                                )  
COUNTY OF LUCAS            )

Patricia J. Loo, being duly sworn, states as follows:

1. I am a paralegal at Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, Toledo, Ohio 43604-5573. I am not an expert in accounting.

2. I have personal knowledge of the facts in this affidavit. This affidavit summarizes my involvement in reviewing of documents produced to the plaintiffs, but does not make representations as to the comprehensiveness of the process or the accuracy of the conclusions made. Instead, I show my work product.

3. I reviewed and analyzed documents that were produced to Plaintiffs by Trevor Cook on July 30, 2009, in connection with a Stipulation and Order granting expedited discovery to Plaintiffs.

4. Based on the financial information contained in these documents, I entered information into a database that was able to track deposits to and withdrawals from certain accounts belonging to Defendants, including Crown Forex LLC's Associated Bank Account Number ending in "1705," UBS Diversified Growth's Wells Fargo Account Number ending in

"2710," and Universal Brokerage FX Management LLC's Associated Bank Account Number ending in "5601." In the last two years, approximately \$190 million has moved through these three accounts.

5. I then ran filtered reports which sorted entries by payee and originating account. I sent this sorted information to an Excel spreadsheet which totaled the entries. The schedules, designated as Schedules A – K, are attached. Each Schedule contains the original report from the database filtered by payee, and the Excel spreadsheet tallying the accounts. I describe them in the following paragraphs:

A. Schedule A, which includes transactions since April 2007, indicates accounts in the name of Jason Beckman and his wife received \$6,606,108.99 from the Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705 and from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

B. Schedule B, which includes transactions since April 2007, indicates that accounts in the name of Trevor Cook received \$3,428,239.90 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

C. Schedule C, which includes transactions since February 2007, indicates that accounts in the name of Market Shot, LLC received \$5,809,000.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

D. Schedule D, which includes transactions since March 2007, indicates that accounts in the name of Chris Pettengill received \$4,400,000.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

E. Schedule E, which includes transactions since October 2007, indicates that accounts in the name of Oxford Global Advisors received \$4,420,000.00 from UBS Diversified

Growth's Wells Fargo Account No. \*\*\*\*\*2710, and \$58,470.82 from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705.

F. Schedule F, which includes transactions since December 2008, indicates that accounts in the name of Oxford Global Partners received \$710,000.00 from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705.

G. Schedule G, which includes transactions since December 2007, indicates that accounts in the name of various other Oxford companies received the following amounts: Oxford FX Growth received \$3,451,000.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710; Oxford Global Investments received \$500,000.00 from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705; Oxford Global FX received \$1,350,000.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710, and \$1,797,000.00 from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705; and an unspecified "Oxford" company received \$50,000.00 from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705

H. Schedule H, which includes transactions since March 5, 2008, indicates that accounts in the name of Crown Forex LLC received \$8,799,435.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

I. Schedule I, which includes transactions since July 2008, indicates that accounts in the name of UBS Diversified received \$23,612,000.00 from Crown Forex LLC's Associated Bank No. \*\*\*\*\*1705, and \$450,000.00 from Universal Brokerage FX Management LLC's Associated Bank Account No. \*\*\*\*\*5601.

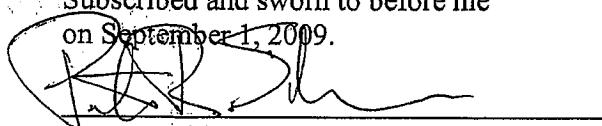
J. Schedule J, which includes transactions since April 2007, indicates that accounts in the name of UBS Diversified FX Growth received \$1,000,000.00 from UBS Diversified Growth's Wells Fargo Account No. \*\*\*\*\*2710.

K. Schedule K indicates that on June 29, 2009, \$3,223,600.00 was withdrawn from Crown Forex LLC's Associated Bank Account No. \*\*\*\*\*1705.

Dated: September 1, 2009

  
\_\_\_\_\_  
Patricia J. Loo

Subscribed and sworn to before me  
on September 1, 2009.

  
\_\_\_\_\_  
Notary Public

My notary has no expiration date.

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
4/10/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$200,000.00
9/18/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
11/30/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
12/19/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
1/31/2008	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$25,000.00
			<u>\$285,000.00</u>
7/16/2008	Associated Bank NA/Crown Forex LLC	Bear Stearns Ref: Jason Beckman	\$500,000.00
9/15/2008	Associated Bank NA/Crown Forex LLC	Jason Beckman	\$150,000.00
9/15/2009	Associated Bank NA/Crown Forex LLC	Jason Beckman	\$170,000.00
			<u>\$820,000.00</u>
9/23/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
10/14/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$70,000.00
10/30/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
11/3/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$101,867.48
11/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$119,241.51
12/1/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
12/11/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
12/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
12/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$20,000.00
1/16/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
2/3/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
2/11/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$2,200,000.00
3/6/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$500,000.00
3/10/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$2,200,000.00
			<u>\$5,501,108.99</u>
			<u><u>\$6,606,108.99</u></u>

**SCHEDULE A**

SLK\_TOL: 1676189v1

## Fact Chronology

Filter: Linked To Entity Name: "Jason & Hollie Beckman" (14 of 257)

8/31/2009 11:20 AM

Date	Account Information	Entity Name	Debit Amt
Tue 09/23/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
Tue 10/14/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$70,000.00
Thu 10/30/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
Mon 11/03/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$101,867.48
Tue 11/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$119,241.51
Mon 12/01/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
Thu 12/11/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
Thu 12/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
Thu 12/18/2008	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$20,000.00
Fri 01/16/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$30,000.00
Tue 02/03/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$50,000.00
Wed 02/11/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$2,200,000.00
Fri 03/06/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$500,000.00
Tue 03/10/2009	Associated Bank NA/Crown Forex LLC	Jason & Hollie Beckman	\$2,200,000.00

**Fact Chronology**

Filter: Linked To Entity Name: "Hollie Beckman" (5 of 257)

8/31/2009 11:20 AM

Date	Account Information	Entity Name	Debit Amt
Tue 04/10/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$200,000.00
Tue 09/18/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
Fri 11/30/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
Wed 12/19/2007	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$20,000.00
Thu 01/31/2008	Wells Fargo/UBS Diversified Growth	Hollie Beckman	\$25,000.00

**Fact Chronology**

Filter: Linked To Entity Name: "Jason Beckman" (3 of 257)

8/31/2009 11:20 AM

Date	Account Information	Entity Name	Debit Amt
Wed 07/16/2008	Associated Bank NA/Crown Forex LLC	Bear Stearns Ref: Jason Beckman	\$500,000.00
Mon 09/15/2008	Associated Bank NA/Crown Forex LLC	Jason Beckman	\$150,000.00
Tue 09/15/2009	Associated Bank NA/Crown Forex LLC	Jason Beckman	\$170,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
4/24/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$292,000.00
4/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$712,400.00
4/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$712,249.00
6/5/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$250,000.00
7/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$1,187,590.90
10/16/2008	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$30,000.00
1/27/2009	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$205,000.00
4/15/2009	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$39,000.00
			<u>\$3,428,239.90</u>

**SCHEDULE B**

**Fact Chronology**

Filter: Linked To Entity Name: "Trevor Cook" (8 of 257)

Date	Account Information	Entity Name	Debit Amt
Tue 04/24/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$292,000.00
Wed 04/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$712,400.00
Wed 04/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$712,249.00
Tue 06/05/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$250,000.00
Wed 07/25/2007	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$1,187,590.90
Thu 10/16/2008	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$30,000.00
Tue 01/27/2009	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$205,000.00
Wed 04/15/2009	Wells Fargo/UBS Diversified Growth	Trevor Cook	\$39,000.00

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<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
2/15/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$1,000,000.00
3/1/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$700,000.00
3/2/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$500,000.00
3/5/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$250,000.00
3/7/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$750,000.00
4/27/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$509,100.00
12/15/2008	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$2,100,000.00
			<u>\$5,809,100.00</u>

**SCHEDULE C**

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**Fact Chronology**

Filter: Linked To Entity Name: "Market Shot, LLC" (7 of 257)

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Date	Account Information	Entity Name	Debit Amt
Thu 02/15/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$1,000,000.00
Thu 03/01/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$700,000.00
Fri 03/02/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$500,000.00
Mon 03/05/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$250,000.00
Wed 03/07/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$750,000.00
Fri 04/27/2007	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$509,100.00
Mon 12/15/2008	Wells Fargo/UBS Diversified Growth	Market Shot, LLC	\$2,100,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
3/28/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$40,000.00
4/17/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$50,000.00
5/10/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$80,000.00
6/20/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$330,000.00
7/30/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$350,000.00
8/14/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$350,000.00
8/15/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$50,000.00
8/29/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$400,000.00
9/17/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$1,000,000.00
11/6/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$250,000.00
11/7/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00
11/9/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$40,000.00
1/29/2008	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00
2/21/2008	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00
			<u>\$4,440,000.00</u>

**SCHEDULE D**

**Fact Chronology**

Filter: Linked To Entity Name: "Christopher Pettengill" (14 of 257)

8/31/2009 11:45 AM

Date	Account Information	Entity Name	Debit Amt
Wed 03/28/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$40,000.00
Tue 04/17/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$50,000.00
Thu 05/10/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$80,000.00
Wed 06/20/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$330,000.00
Mon 07/30/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$350,000.00
Tue 08/14/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$350,000.00
Wed 08/15/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$50,000.00
Wed 08/29/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$400,000.00
Mon 09/17/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$1,000,000.00
Tue 11/06/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$250,000.00
Wed 11/07/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00
Fri 11/09/2007	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$40,000.00
Tue 01/29/2008	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00
Thu 02/21/2008	Wells Fargo/UBS Diversified Growth	Christopher Pettengill	\$500,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
10/16/2007	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$100,000.00
1/17/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$170,000.00
3/5/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$1,200,000.00
3/10/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$450,000.00
5/16/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$2,000,000.00
6/13/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$500,000.00
			<u>\$4,420,000.00</u>
9/29/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	\$14,165.27
9/29/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	\$4,305.55
10/3/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	<u>\$40,000.00</u>
			<u>\$58,470.82</u>

**Fact Chronology**

Filter: Linked To Entity Name: "Oxford Global Advisors" (9 of 257)

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Date	Account Information	Entity Name	Debit Amt
Tue 10/16/2007	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$100,000.00
Thu 01/17/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$170,000.00
Wed 03/05/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$1,200,000.00
Mon 03/10/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$450,000.00
Fri 05/16/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$2,000,000.00
Fri 06/13/2008	Wells Fargo/UBS Diversified Growth	Oxford Global Advisors	\$500,000.00
Mon 09/29/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	\$14,165.27
Mon 09/29/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	\$4,305.55
Fri 10/03/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Advisors	\$40,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
12/1/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
12/11/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$150,000.00
1/5/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
1/27/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$75,000.00
3/2/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
3/19/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$60,000.00
4/2/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$75,000.00
4/24/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
5/8/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
5/21/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
6/8/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
			<u>\$710,000.00</u>

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**SCHEDULE F**

**Fact Chronology**

Filter: Linked To Entity Name: "Oxford Global Partners" (11 of 257)

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Date	Account Information	Entity Name	Debit Amt.
Mon 12/01/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Thu 12/11/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$150,000.00
Mon 01/05/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Tue 01/27/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$75,000.00
Mon 03/02/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Thu 03/19/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$60,000.00
Thu 04/02/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$75,000.00
Fri 04/24/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Fri 05/08/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Thu 05/21/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00
Mon 06/08/2009	Associated Bank NA/Crown Forex LLC	Oxford Global Partners	\$50,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
12/13/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	\$100,000.00
12/14/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	\$350,000.00
12/21/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	<u>\$1,000,000.00</u>
			\$1,450,000.00
7/23/2008	Associated Bank NA/Crown Forex LLC	Oxford FX Growth	\$2,001,000.00
			<u><u>\$3,451,000.00</u></u>

**SCHEDULE G**

**Fact Chronology**

Filter: Linked To Entity Name: "Oxford FX Growth" (4 of 257)

Date	Account Information	Entity Name	Debit Amt
Thu 12/13/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	\$100,000.00
Fri 12/14/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	\$350,000.00
Fri 12/21/2007	Wells Fargo/UBS Diversified Growth	Oxford FX Growth	\$1,000,000.00
Wed 07/23/2008	Associated Bank NA/Crown Forex LLC	Oxford FX Growth	\$2,001,000.00

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Date	Account Information	Entity Name	Debit Amt
7/18/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Investments	\$400,000.00
7/18/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Investments	<u>\$100,000.00</u>
			\$500,000.00

## Fact Chronology

Filter: Linked To Entity Name: "Oxford Global Investments" (2 of 257)

Date	Account Information	Entity Name	Debit Amt
Fri 07/18/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Investments	\$400,000.00
Fri 07/18/2008	Associated Bank NA/Crown Forex LLC	Oxford Global Investments	\$100,000.00

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<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
12/4/2008	Associated Bank NA/Crown Forex LLC	Oxford Global FX	\$97,000.00
6/12/2009	Associated Bank NA/Crown Forex LLC	Oxford Global FX	<u>\$1,700,000.00</u>
			\$1,797,000.00
4/23/2009	Wells Fargo/UBS Diversified Growth	Oxford Global FX	\$50,000.00
4/30/2009	Wells Fargo/UBS Diversified Growth	Oxford Global FX	<u>\$1,300,000.00</u>
			\$1,350,000.00

**Fact Chronology**

Filter: Linked To Entity Name: "Oxford Global FX" (4 of 257)

Date	Account Information	Entity Name	Debit Amt
Thu 12/04/2008	Associated Bank NA/Crown Forex LLC	Oxford Global FX	\$97,000.00
Thu 04/23/2009	Wells Fargo/UBS Diversified Growth	Oxford Global FX	\$50,000.00
Thu 04/30/2009	Wells Fargo/UBS Diversified Growth	Oxford Global FX	\$1,300,000.00
Fri 06/12/2009	Associated Bank NA/Crown Forex LLC	Oxford Global FX	\$1,700,000.00

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<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
10/10/2008	Associated Bank NA/Crown Forex LLC	Oxford/Oxford (?)	\$50,000.00

## Fact Chronology

Filter: Entity Name Containing Text "Oxford/Oxford (?)" (1 of 257)

Date	Account Information	Entity Name	Debit Amt
Fri 10/10/2008	Associated Bank NA/Crown Forex LLC	Oxford/Oxford (?)	\$50,000.00

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<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
3/5/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,999,435.00
1/1/14/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,250,000.00
1/1/19/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,200,000.00
1/1/24/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,750,000.00
1/2/1/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,100,000.00
1/2/3/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,000,000.00
6/30/2009	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$500,000.00
			<u>\$8,799,435.00</u>

**SCHEDULE H**

**Fact Chronology**

Filter: Linked To Entity Name: "Associated Bank NA/Crown Forex LLC" (7 of 257)

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Date	Account Information	Entity Name	Debit Amt
Wed 03/05/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,999,435.00
Fri 11/14/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,250,000.00
Wed 11/19/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,200,000.00
Mon 11/24/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,750,000.00
Mon 12/01/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,100,000.00
Wed 12/03/2008	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$1,000,000.00
Tue 06/30/2009	Wells Fargo/UBS Diversified Growth	Associated Bank NA/Crown Forex LLC	\$500,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
7/2/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$500,000.00
7/10/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified to Wells Fargo	\$2,000,000.00
7/28/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,500,000.00
8/7/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
9/11/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
11/14/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,250,000.00
11/19/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,200,000.00
11/24/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,750,000.00
12/1/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,100,000.00
12/3/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
12/8/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,150,000.00
12/9/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,000,000.00
1/8/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$100,000.00
2/25/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,000,000.00
3/19/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$750,000.00
3/31/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$700,000.00
5/19/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$500,000.00
5/21/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
6/8/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
6/12/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,112,000.00
			\$23,612,000.00
7/23/2008	Associated Bank NA/Universal Brokerage FX Mgmt. LLC	UBS Diversified	\$450,000.00
			<u><u>\$24,062,000.00</u></u>

**SCHEDULE I**

## Fact Chronology

Filter: Linked To Entity Name: "UBS Diversified" (23 of 257)

Date	Account Information	Entity Name	Debit Amt
To Be Determined	Associated Bank NA/Crown Forex LLC	UBS Diversified - There are many, many UBS client accounts which have a client name after the entity name. I did not enter them all in this spreadsheet. I did, however, enter activity involving UBS Diversified when it did not involve a particular client.	\$0.00
Wed 07/02/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$500,000.00
Thu 07/10/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified to Wells Fargo	\$2,000,000.00
Wed 07/23/2008	Associated Bank NA/Universal Brokerage FX Mgmt. LLC	UBS Diversified	\$450,000.00
Mon 07/28/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,500,000.00
Thu 08/07/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
Thu 09/11/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
Thu 10/02/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$0.00
Fri 11/14/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,250,000.00
Wed 11/19/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,200,000.00
Mon 11/24/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,750,000.00
Mon 12/01/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,100,000.00
Wed 12/03/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
Mon 12/08/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,150,000.00
Tue 12/09/2008	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,000,000.00
Thu 01/08/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$100,000.00
Wed 02/25/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$2,000,000.00
Thu 03/19/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$750,000.00
Tue 03/31/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$700,000.00

**Fact Chronology**

Filter: Linked To Entity Name: "UBS Diversified" (23 of 257)

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Date	Account Information	Entity Name	Debit Amt
Tue 05/19/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$500,000.00
Thu 05/21/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
Mon 06/08/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,000,000.00
Fri 06/12/2009	Associated Bank NA/Crown Forex LLC	UBS Diversified	\$1,112,000.00

<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
4/17/2007	Wells Fargo/UBS Diversified Growth	UBS Diversified FX Grow	\$250,000.00
6/5/2007	Wells Fargo/UBS Diversified Growth	UBS Diversified FX Grow	\$750,000.00

\$1,000,000.00

**SCHEDULE J**

## Fact Chronology

Filter: Linked To Entity Name: "UBS Diversified FX Grow" (2 of 257)

Date	Account Information	Entity Name	Debit Amt
Tue 04/17/2007	Wells Fargo/UBS Diversified Growth	UBS Diversified FX Grow	\$250,000.00
Tue 06/05/2007	Wells Fargo/UBS Diversified Growth	UBS Diversified FX Grow	\$750,000.00

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<u>Date</u>	<u>Account Information</u>	<u>Entity Name</u>	<u>Debit Amt</u>
6/29/2009	Associated Bank NA/Crown Forex LLC	Checking/MMA WD	\$3,223,600.00

**SCHEDULE K**

**Fact Chronology**

Filter: Date = 06/29/2009 (1 of 257)

Date	Account Information	Entity Name	Debit Amt
Mon 06/29/2009	Associated Bank NA/Crown Forex LLC	Checking/MMA WD	\$3,223,600.00

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